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Carol Felenstein

and Clelens Ve

Docket No. 6311-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT OPERATION

Commissioner for Patents Box Patent Application Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application under 37 CFR 1.53(b):

Applicants

Robert Rademacher, David Adkisson, David Maloy

For

LEVERAGE MARGIN MONITORING AND

MANAGEMENT

This application claims the benefit of provisional application entitled "LEVERAGE MONITORING AND MANAGEMENT" filed March 30, 2000, bearing the Serial No. 60/193,187 the contents of which are relied upon and incorporated by reference.

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Ηn	\sim	losed	are.

\boxtimes	Specification - 22 pages; Claims - 5 pages; Abstract.						
\boxtimes	Declaration, Power of Attorney unsigned						
	Newly executed (original or copy)						
	Copy from a prior application (for continuation/divisional)						
\boxtimes	() sheets of formal	informal drawings (comprising of Figures 1 through 9).					
	Assignment to						

Assignment Recordation Cover Sheet
Enclosed is a check in the amount of \$40.00 to cover the Assignment Recordation Fee
Small Entity Status Declaration
Preliminary Amendment

CLAIMS AS FILED								
		Number Filed	Number Extra	Rate	Additional Fee	Basic Fee \$690.00		
Total Claims		50-20	30	X \$ 18.00	X \$540	= \$540		
Independent Claims		10-3	7	X \$546.00	X \$546	= \$546		
First Presentation of Claims		Iultiple depo	endent	+\$260.00		= \$		
				Tot	al Filing Fee	\$1776		
	Certifie	ed Copy of p	oriority docur	ments, claimed l	herein.			
Applicant he USC § 119 b		-		International (Convention an	id under 35		
	Patent Application Serial No. , filed .							
	Information Disclosure Statement, Form PTO 1449, References							
	If a continuing application, check appropriate box							
		Continuatio	on	☐ Di	visional			
		Continuatio	n-in-part (CI	P)				
		k in the amo		to cover the f	filing fee and any additional			
	The Commissioner is hereby authorized to charge the required fee for the submission of the patent application, or any additional fees or credit any overpayments in connection with this application to Deposit Account No. 50-0521. A duplicate copy of this sheet is enclosed.							

Respectfully submitted,

MAILING ADDRESS

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Joseph P. Kincart Reg. No. 43,716